



Receipt
IFW

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Re Patent Application of Gendreau, et al.

Serial No.: 10/523,477

Filed: February 4, 2005

For: MAXS AS MODIFIERS OF THE AXIN
PATHWAY AND METHODS OF USE

Confirmation No.: 2298

Art Unit: 1642

Attorney Docket No.: EX03-051C-US

TRANSMITTAL LETTER

Commissioner for Patents
Alexandria, VA 22313-1450

Dear Commissioner:

Enclosed herewith are the following documents:

1. Request to correct Filing Receipt – 1 pg
2. Filing Receipt (copy) – 3 pgs
3. Express Mail Label (copy) – 1 pg
4. Post card with PTO stamp (copy) – 1 pg
5. Return Receipt Post Card

Respectfully submitted,

Dated: October 26, 2005



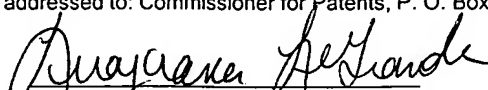
Laleh Shayesteh Reg. No. 47,937

EXELIXIS, INC.
P.O. Box 511, 170 Harbor Way
South San Francisco, California 94083-0511
Tel: (650) 837-8180; Fax: (650) 837-8234

CERTIFICATION OF MAILING

I hereby certify that this correspondence and any papers referred to as attached are being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.

Date: October 26, 2005



Lujuana LeGrande



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Patent Application of Gendreau, et al.

Serial No.: 10/523,477

Filed: February 4, 2005

For: MAXS AS MODIFIERS OF THE AXIN
PATHWAY AND METHODS OF USE

Confirmation No.: 2298

Art Unit: 1642

Attorney Docket No.: EX05-002C-PC

REQUEST FOR CORRECTED FILING DATE

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Applicants enclose a copy of the Filing Receipt issued in the above-identified application with the changes noted thereon.

The filing date was issued incorrectly as March 31, 2005. The correct filing date is February 4, 2005. Enclosed is a copy of the return postal card from the USPTO showing the filing date of February 4, 2005. Also enclosed is a copy of the Express Mail Label No. EV022723323US receipt from the United States Postal Office showing date of February 4, 2005, the date the application was deposited for mailing.

Applicants request that the Filing Date is corrected to reflect the correct filing date of February 4, 2005.

Because this error is on the part of the Patent Office, no fee is believed to be due in connection with the filing of this request. However, if any fee is required, authorization is hereby given to charge the required fee to Exelixis' Deposit Account No. 50-1108. A copy of this sheet is enclosed.

Respectfully submitted,

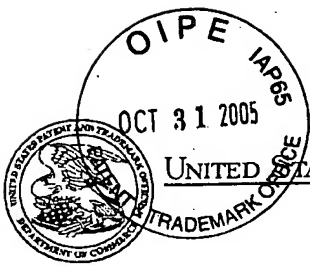
Dated: October 26, 2005

Laleh Shayesteh

Reg. No. 47,937

EXELIXIS, INC.

170 Harbor Way, P.O. Box 511
South San Francisco, California 94083-0511
Telephone: (650) 837-8223
Facsimile: (650) 837-8234



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
 United States Patent and Trademark Office
 Address: COMMISSIONER FOR PATENTS
 P.O. Box 1450
 Alexandria, Virginia 22313-1450
 www.uspto.gov

APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/523,477	03/24/2005 02/04/2005	1642	1430	EX03-051C-US		24	4

23500
 PATENT DEPT
 EXELIXIS, INC.
 170 HARBOR WAY
 P.O. BOX 511
 SOUTH SAN FRANCISCO, CA 94083-0511

CONFIRMATION NO. 2298

FILING RECEIPT



Date Mailed: 08/04/2005

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please mail to the Commissioner for Patents P.O. Box 1450 Alexandria Va 22313-1450. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Steve Brian Gendreau, San Francisco, CA;
 Emery G. Dora, San Francisco, CA;
 Kim Lickteig, San Francisco, CA;
 Craig D. Amundsen, Pacificia, CA;

Power of Attorney: The patent practitioners associated with Customer Number 23500.

Domestic Priority data as claimed by applicant

This application is a 371 of PCT/US03/24560 08/06/2003
 which claims benefit of 60/401,534 08/06/2002
 and claims benefit of 60/411,153 09/16/2002

Foreign Applications

Projected Publication Date: 11/10/2005

Non-Publication Request: No

Early Publication Request: No

AUG 15 2005

Title

Maxs as modifiers of the axin pathway and methods of use

Preliminary Class

435

PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process **simplifies** the filing of patent applications on the same invention in member countries, but **does not result** in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at <http://www.uspto.gov/web/offices/pac/doc/general/index.html>.

**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the

national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).



EV 022723323 US



EXPRESS MAIL
UNITED STATES POSTAL SERVICE®

POST OFFICE TO ADDRESSEE

ORIGIN PO ZIP CODE

ORIGIN (POSTAL USE ONLY)

Date In

PO ZIP Code **94128**

Day of Delivery
☐ Next ☒ Second

Flat Rate Envelope ☐

Mo. Time In

Date In **2 4 05**

2:17

Postage

\$ **13.65**

Weight

Mo. Day Year **1624**

☐ 12 Noon ☒ 3 PM

Return Receipt Fee

No Deliver

Weight **8** lbs. **0** ozs.

Military ☐ 2nd Day ☐ 3rd Day

COD Fee

Insurance Fee

CUSTOMER USE ONLY

No Delivery ☐ Weekend ☐ Holiday

Int'l Alpha Country Code

Acceptance Clerk Initials **MC**

Total Postage & Fees \$ **13.65**

SEE REVERSE SIDE FOR SERVICE GUARANTEE AND LIMITS ON INSURANCE COVERAGE



☐ WAIVER OF SIGNATURE (Domestic Only): Additional merchandise not to be shipped to waiver of signature is requested. I wish delivery to be made without obtaining signature of addressee or addressee's agent (if delivery employee judges that article can be left in secure location) and I authorize that delivery employee's signature constitutes valid proof of delivery.

NO DELIVERY ☐ Weekend ☐ Holiday

FROM

METHOD OF PAYMENT:

Express Mail Corporate Acct. No. **X940378**

Federal Agency Acct. No. or Postal Service Acct. No.

FROM: (PLEASE PRINT)

PHONE **650 837 8180**

TO: (PLEASE PRINT)

PHONE

LEGAL DEPARTMENT PATENTS
EXELIXIS, INC.
170 HARBOR WAY
PO BOX 551
SOUTH SAN FRANCISCO CA 94083-6108

Mail Stop PCT
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

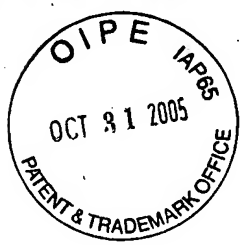
EXC3-051C-US

FOR PICKUP OR TRACKING CALL 1-800-222-1811

Customer Copy
Label 11-F August 2000

F21

29/



Express Mail No. EV022723323 US First Class Mail ()
Date Mailed 04 Feb 2005 Inventor/Applicant EXELIXIS, Inc et al
Serial No. NEW Confirmation No. _____ Filing Date _____
Title MAXS As modifiers of the Axin Pathway and
Methods of Use

10/523477

DT07 Rec'd PCT/PTO 04 FEB 2005

- () Amendment/Response
w/ exhibits _____ pgs.
- () Appeal, Notice of
- () Application:
Specification _____ pgs.
_____ Claims, _____ pgs.
- () Drawings: _____ Figures _____ Sheets
- ☒ Declaration Of Inventorship 2 pgs.
() Executed ☒ Unexecuted () Copy
- () Fee Transmittal Form
- ☒ Payment by Deposit Account
- () IDS/Form 1449 _____ pgs.
() w/refs. _____ pgs. () w/o refs.
- () Issue Fee Transmittal _____ pgs.
- () Petition to Extend Time _____ pgs.
- () Power of Attorney _____ pgs.
- () PCT-EASY Request _____ pgs.
- () PCT-EASY diskette
- ☒ Sequence Submission:
☒ CRF: Diskette ☒ Paper 30 pgs./
- ☒ Statement verifying above copies 1 pgs./
- ☒ Transmittal Letter 2 pgs./

Other: _____

File No.: EX03-051C-US

Sender: LRL